present

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Summer 19 present	96- Lecturer International Program on Privatization and Reform Institute for International Development, Harvard University, Cambridge, Massachusetts
Aug. 1991 · Oct. 1992	Deputy Assistant Attorney General for Economics Antitrust Division United States Department of Justice, Washington, D.C.
Sept. 1989 July 1990	 Visiting Professor of Economics School of Management and Organization, Yale University, New Haven, Connecticut
	Lecturer in Law Yale Law School
Mar. 1984 June 1988	Visiting Professor of Economics Universita Commerciale "Luigi Bocconi", Milan, Italy.
June 1982 - Feb. 1985	Director of Graduate Studies Department of Economics, New York University
Sept. 1982 June 1986	- Adjunct Professor of Law (part-&e) Columbia University Law School, New York, New York
Feb. 1982 - June 1982	Acting Director of Graduate Studies Department of Economics, New York University
June 1978 - June 1982	Associate Professor of Economics Department of Economics, New York University
Sept. 1979 May 1990	- Lecturer in Economics and Antitrust New York University Law School
Sept. 1977 June 1978	Member, Technical Staff Bell Laboratories, Holmdel, New Jersey
	Associate Professor of Economics Columbia University
	Visiting Research Scholar Center for Law and Economics, University of Miami, Miami, Florida
Sept. 1973 Aug. 1977	- Assistant Professor of Economics New York University
Summer 19	Fellow, Legal Institute for Economists, Center for Law and Economics, University of Miami
Summer 19	Visiting Researcher Bell Laboratories, Holmdel, New Jersey

Department of Economics, New York University, New York, New York

OTHER PROFESSIONAL ACTIVITIES

n, Global Marketplace,"

Predatory Pricing Monograph Task Force

Section of Antitrust Law, American Bar Association

1989 Hearings on Competitive Issues in the Cable TV Industry

Subcommittee on Monopolies and Business Rights of the Senate Judiciary Committee

Washington, D.C.

1989 Member

EEC Merger Control Task Force, American Bar Association

1988 - Associate Member

present American Bar Association

1987 **-** 1989 Adjunct Member

Antitrust and Trade Regulation Committee, The Association of the Bar of the City of New York

1984 Speaker, "Industrial and Intellectual Properly: The Antitrust Interface"

National Institutes, American Bar Association, Philadelphia, Pennsylvania

1983 - 1990 Director

Consultants in Industry Economics, Inc

1982 Member

Organizing Committee

Tenth Annual Telecommunications Policy Research Conference, Annapolis, Maryland

1981 Member

Section 7 Clayton Act Committee, Project on Revising Merger Guidelines

American Bar Association

1980 organizer

Invited Session on Law and Economics

American Economic Association Meetings, Denver, Colorado

1978 • 1979 Member

Department of Commerce Technical Advisory Board

Scientific and Technical Information Economics and Pricing Subgroup

1978 - present Referee for numerous scholarly journals, publishers, and the National Science Foundation

MEMBERSHIPS IN PROFESSIONAL SOCIETIES

American **Economic** Association American **Bar** Association

PUBLICATIONS

A. Journal Articles

"Entrepreneurship, Access Policy and Economic Development: Lessons from Industrial Organizations," with M. Dutz and R. D. Willig, *European Economic Review Papers and Proceedings*, (forthcoming 2000).

"Bank Mergers and the 1992 Merger Guidelines: The Bank America/Security Pacific Transaction," with M. Guerin-Calvert, *Review & Industrial Organization*, vol. 16, March 2000, 151-65.

"Parity Pricing and its Critics: Necessary Condition for Efficiency in Provision of Bottleneck Services to Competitors," with W. J. Baumol and R. D. Willig, *Yale Journal on Regulation*, vol. 14, Winter 1997, 146-63.

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"Entry Analysis Under the 1992 Horizontal Merger Guidelines," with Jonathan B. Baker, *Antitrust Law Journal*, vol. 61, no. 1, Summer 1992, 139-146.

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"A Patent System for Both Diffusion and Exclusion," Journal & Economic Perspectives, vol. 5, Winter 1991, 43-60.

"R&D Cooperation and Competition," with M. Katz, Brookings Papers on Economic Activity: Microeconomics, 1990, 137-203.

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"Antitrust Policy for High-Technology Industries," with W.J. Baumol, *Oxford Review of Economic Policy*, vol. **4**, Winter 1988, 13-34. Reprinted in E. Fox and J. Halverson (eds.), *Collaborations Among Competitors: Antitrust Policy and Economics*, American Bar Association, 1991,949-984.

"Conflicts of Jurisdiction: Antitrust and Industrial Policy," *Law and Contemporary Problems*, vol. 50, Summer 1987, 165-178.

- "Market Structure and Optimal Management Organization," with C. Bull, *Rand Journal & Economics*, vol. 18, no. 4, Winter 1987, 480-491.
- "A Sequential Concession Game with Asymmetric Information," with A. Rubinstein, *Quarterly Journal & Economics*, vol. 101, no.4, November 1986, 879-888.
- "The G.M.-Toyota Joint Venture: An Economic Assessment," with C. Shapuo, Wayne Law Journal, vol. 31, no. 4,1985, 1167-1194.
- "Economic Foundations and Considerations in Protecting Industrial and Intellectual Property: An Introduction," *ABA Antitrust Law Journal*, vol. 53, no. 3, 1985.503-518, Comments, 523-532.
- "Antitrust for High-Technology Industries: Assessing Research Joint Ventures and Mergers," with R.D. Willig, *Journal* of *Law and Economics*, vol. 28, May 1985, 311-334.
- "Use of Antitrust to Subvert Competition," with W.J. Baumol, *Journal & Law and Economics*, vol. 28, May 1985,247-266. Reprinted in *Journal of Reprints for Antitrust Law and Economics*, vol. 16, no. 2.
- "Advances in Supervision Technology and Economic Welfare: A *General* Equilibrium Analysis," with C. **Shapiro**, *Journal* & *Public Economics*, vol. 25/3, 1985,371-390.
- "Predatory Systems Rivalry: A Reply," with A. O. Sykes and R. D. Willig, 83 *Columbia Law Review*, June 1983, 1150-1166. Reprinted in *Corporate Counsel*, Matthew Bender & Company, 1984,433-450.
- "The 1982 Department of Justice Merger Guidelines: **An** Economic Assessment," with R. D. Willig, 71 *California Law Review*, March 1983,535-574. Reprinted in *Antitrust Policy in Transition: The Convergence of Law and Economics*, E. Fox and J. Halverson (eds.), American Bar Association Press, 1984,267-304.
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- "On Non-linear Pricing of Inputs," with J. Panzar, International Economic Review, October 1982, 659-675.
- "Herfindahl Concentration, Rivalry and Mergers," with **A.** O. Sykes and R. D. Willig, *Harvard Law Review*, vol. 95, June 1982, 1857-1875.
- "A Reply to Journals as Shared Goods: Comment," with R. D. Willig, American Economic Review, June 1982, 603-607.
- "Proposed Revisions to the Justice Department5Merger Guidelines," with S. Edwards, *et al.*, *Columbia Law Review*, vol. 81, December 1981,1543-1591.
- "An Economic Definition of Predation: Pricing and Product Innovation," with R.D. Willig, *Yale Law Journal*, vol. 91, November 1981, 8-53.
- "On the Consequences of Costly Litigation in the Model of Single Activity Accidents: Some New Results," *Journal of Legal Studies*, June 1981,269-291.
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"The Role of Information in the Design of Public Policy Towards Externalities," with R. D. Willig, *Journal of Public Economics*, December 1979, 271-299.

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"Merger Illusions and Externalities: A Note," with A. Schotter, Eastern Economic Review, November 1976, 19-21.

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"Linear Taxation of Wealth and Wages for Intragenerational Lifetime Justice: Some Steady-State Cases," with E.S. Phelps, American Economic Review, September 1975, 660-673.

B. Books and Monographs

Proceedings of the TenthAnnual Telecommunications Policy Research Conference, editor with O. Gandy and P. Espinosa, ABLEX Publishers, 1983.

Obstacles to Trade and Competition, with L. Goldberg, OECD, Paris, 1993.

Predatory Pricing, with William Green, et al., American Bar Association, Section of Antitrust Law, Monograph 22,1996.

C. Book Chapters

"Sustainable Privatization of Latin American Infrastructure: The Role of Law and Regulatory Institutions," with Evamaria Uribe, Chap. 1 in F. Basanes, E. Uribe, R. D. Willig (eds.) Can Privatization Deliver? Infrastructure for Latin America, The Johns Hopkins U. P. for IADB, 1999, 9-32.

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"Supervision Technology, Firm Structure, and Employees' Welfare," in *Prices, Competition and Equilibrium*, M. Peston and R.E. Quandt (eds.) Philip Allan Publishers, Ltd., 1986, 142-163.

"Perspectives on Mergers and World Competition," with R.D. Willig, in *Antitrust and Regulation*, R. Grieson (ed.), Lexington Books, 1986, 201-218.

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"Non-Price Anticompetitive Behavior by Dominant Firms Toward the Producers of Complementary Products," with A.O. Sykes and R.D. Willig, in *Antitrust and Regulation:* Essays in Memory & John McGowan, F. Fisher (ed.) MIT Press, 1985, 315-330.

"Local Telephone Pricing in a Competitive Environment," with R.D. Willig, in *Regulating New Telecommunication Networks*, E. Noam (ed.), Harcourt Brace Jovanovich, 1983, 267-289.

"An Economic Definition of Predatory Product Innovation," with R.D. Willig, in *Strategy, Predation and Antitrust Analysis*, S. Salop (ed.), Federal Trade Commission, 1981, 301-396.

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"Problems of Political Equilibrium in **the** Soviet Proposals for a European Security Conference," in *Columbia Essays in International Affairs*, Andrew W. Cordier (ed.) Columbia University Press, New York, 1971, 1951-197

D. Other Publications

"Predatory Pricing" in Peter Newman (ed.), The New Palgrave Dictionary of Economics and the Law, Grove Dictionaries, New York, 1999.

Book review of L. Phlips, *Competition Policy: A Game Theoretic Perspective*, reviewed in *Journal of Economic Literature*, vol. 35, No.3, September 1997, 1408-9.

"The Role of Efficiencies in Merger Assessment: The 1997 Guidelines," Antitrust Report, September 1997, 10-17.

"Bingaman's Antitrust Era," Regulation, vol. 20, No. 2, Spring 1997, 21-26.

"Competition Policy for High-Technology Industries," *International Business Lawyer*, vol. 24, No. 10, November 1996, 479-82.

"Internationalizing Competition Law to Limit Parochial State and Private Action: Moving Towards the Vision of World Welfare," with E.M. Fox, International Business Lawyer, vol. 24, No. 10, November 1996, 458-62.

"Economists' View: The Department of Justice Draft for the Licensing and Acquisition of Intellectual Property," *Antitrust*, vol. 9, No. 2, Spring 1995, 29-36.

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"Poland: The First 1,000 Days and Beyond," Economic Times, vol. 3, no. 9, October 1992, 6-7.

"Interview: Janusz A. Ordover: A Merger of Standards? The 1992 Merger Guidelines," *Antitrust*, vol. 6, no. 3, Summer 1992, 12-16.

"Interview: U.S. Justice Department's New Chief Economist: Janusz A. Ordover," *International Merger Law*, no. 14, October 1991.

"Poland: Economy in Transition," Business Economics, vol. 26, no. 1, January 1991, 25-30.

"Economic Analysis of Section 337: Protectionism versus Protection of Intellectual Property," with R.D. Willig, in *Technology, Trade and World Competition*, JEIDA Conference Proceedings, Washington, D.C., 1990, 199-232.

"Eastern Europe Needs Antitrust Now," with E. Fox, New York Law Journal, November 23, 1990, 1-4.

"Understanding Econometric Methods of Market Definition," with D. Wall, Antitrust, vol. 3, no. 3, Summer 1989, 20-25

"Proving Entry Barriers: A Practical Guide to Economics of Entry," with D. Wall, *Antitrust*, vol. 2, no. 2, Winter 1988, 12-17.

"Proving Predation After Monfort and Matsushita: What the New New Learning' has to Offer," with D. Wall, *Antitrust*, vol 1, no. 3, Summer 1987, 5-11.

"The Costs of the Tort System," with A. Schotter, Economic Policy Paper No. PP-42, New York University, March 1986, Reprinted in *Congressional Record*, U.S. Government Printing Office, Washington, D.C., 1987.

"**An** Economic Definition of Predation: Pricing and Product Innovation," with R.D. Willig, Report for the Federal Trade Commission, October 1982, 131 pp.

"Market Power and *Market Definition*," with R.D. Willig, Memorandum for ABA Section 7 Clayton Act Committee, Project on Revising the Merger Guidelines, May 1981.

"Herfindahl Concentration Index," with R.D. Willig, Memorandum for ABA Section 7 Clayton Act Committee, Project on Revising the Merger Guidelines, March 1981.

"Public Interest Pricing of Scientific and Technical Information," Report for the Department **of** Commerce Technical Advisory Board, September 1979.

"Economics of Property Rights as Applied to Computer Software and Databases," with Y.M. Braunstein, D.M. Fischer, W.J. Baumol, prepared for the National Commission on New Technological Uses of Copyrighted Works, June 1977, 140 pp. Reprinted in part in *Technology and Copyright*, R.H. Dreyfuss (ed.), Lemond Publications, 1978.

Book review of O. Morgenstem and G.L. Thompson, *Economic Theory of Expanding and Contracting Economies*, reviewed in *Southern Economic Journal*, September 1978.

"Manual of Pricing and Cost Determination for Organizations Engaged in Dissemination of Knowledge," with W.J. Baumol, Y.M. Braunstein, D.M. Fischer, prepared for the Division of Science Information, NSF April 1977, 150 pp.

UNPUBLISHEDPAPERS

"Economics, Antitrust and the Motion Picture Industry," C.V. Starr Center Policy Paper, July 1983

"On Bargaining, Settling, and Litigating: A Problem in Multiperiod Games With Imperfect Information," with A. Rubinstein, C.V. Starr Working Paper, December 1982.

"Supervision and Social Welfare: An Expository Example," C.V. Starr Center Working Paper, January 1982.

"Should We Take Rights Seriously: Economic Analysis of the Family Education **Rights** Act," with M. **Manove**, November 1977.

"An Echo or a Choice: Product Variety Under Monopolistic Competition," with A. Weiss; presented & the Bell Laboratories Conference on Market Structures, February 1977.

GRANTS RECEIVED

Regulation and Policy Analysis **Program,** National Science Foundation, Collaborative Research *on* Antitrust Policy, principal Investigator, July 15, 1985 - December 31, 1986.

Regulation of Economic Activity Program, National Science Foundation, Microeconomic Analysis of Antitrust Policy, Principal Investigator, April 1, 1983 - March 31, 1984.

Economics Division of the National Science Foundation, "Political Economy of Taxation," Principal Investigator, **Summer** 1982.

Sloan Workshop in Applied Microeconomics (coordinator), with W.J. Baumol (Principal Coordinator), September 1977 - August 1982.

Economics Division of the National Science Foundation, "Collaborative Research on the Theory of Optimal Taxation and Tax Reform," July 1979 to September 1980, with E.S. Phelps.

Division of Science **Information** of the National Science Foundation for Research on "Scale Economies and Public *Goods* Properties of Information," W.J. Baumol, Y.M. Braunstein, M.I. Nadiri, Fall 1974 to Fall 1977.

National Science Foundation Institutional Grant to New York University for Research on Taxation and Distribution of Income, Summer 1974.

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Curriculum Vitae

Name: Robert D. Willig

Address: 220 Ridgeview Road, Princeton, New Jersey 08540

Birth: 1/16/47; Brooklyn, New York

Married, four children

Education: Ph.D. Economics, Stanford University, 1973

Dissertation: Welfare Analysis of Policies

Affecting Prices and Products.

Advisor: James Rosse

M.S. Operations Research, Stanford University, 1968.

A.B. Mathematics, Harvard University, 1967.

Professional Positions:

Professor of Economics and Public Affairs, Princeton University, 1978-.

Principal External Advisor, Infrastructure Program, Inter-American Development Bank, 6/97-8/98.

Deputy Assistant Attorney General, U.S. Department of Justice, 1989-1991.

Supervisor, Economics Research Department, Bell Laboratories, 1977-1978.

Visiting Lecturer (with rank of Associate Professor), Department of Economics and Woodrow Wilson School, Princeton University, 1977-78 (part time).

Economics Research Department, Bell Laboratories, 1973-77.

Lecturer, Economics Department, Stanford University, 1971-73.

Other Professional Activities:

Advisory Board, Electronic Journal of Industrial Organization and Regulation Abstracts, 1996.

Visiting Faculty Member (occasional), International Program on Privatization and Regulatory Reform, Harvard Institute for International Development, 1996-2000.

Member, National Research Council Highway Cost Allocation Study Review Committee. **1995-98**.

Member, Defense Science Board Task Force on the Antitrust Aspects of Defense Industry Consolidation, 1993-94.

Editorial Board, Utilities Policy, 1990-

Leif Johanson Lecturer, University of Oslo, November 1988.

Member, New Jersey Governor's Task Force on Market-Based Pricing of Electricity, 1987-89.

Co-editor, Handbook of Industrial Organization, 1984-89.

Associate Editor, Journal of Industrial Economics, 1984-89.

Director, Consultants in Industry Economics, Inc., 1983-89,1991-94.

Fellow, Econometric Society, 1981-.

Organizing Committee, Carnegie-Mellon-N.S.F.Conference on Regulation, 1985.

Board of Editors, American Economic Review, 1980-83.

Nominating Committee, American Economic Association, 1980-1981.

Research Advisory Committee, American Enterprise Institute, 1980-1986.

Editorial Board, M.I.T. Press Series on Government Regulation of Economic Activity, **1979-93**.

Program Committee, 1980 World Congress of the Econometric Society.

Program Committee, Econometric Society, 1979, 1981, 1985.

Organizer, American Economic Association Meetings: 1980, 1982.

American Bar Association Section 7 Clayton Act Committee, 1981.

Principal Investigator, NSF grant SOC79-0327, 1979-80; NSF grant 285-6041, 1980-82; NSF grant SES-8038866, 1983-84, 1985-86.

Aspen Task Force on the Future of the Postal Service, 1978-80.

Organizing Committee of Sixth Annual Telecommunications Policy Research Conference, 1977-78.

Visiting Fellow, University of Warwick, July 1977

Institute for Mathematical Studies in the Social Sciences, Stanford University, 1975

Consulting: Bell Laboratories, 1978-79; AT&T, 1978-89, 1991-; Conrail 1978-87, 1991-97; Federal Trade Commission, 1979-82, 1994-96; Pennsylvania Bell, 1980; Simpson Thatcher Bartlett, 1980, 1993-98; American Association of Railroads, 1981, 1985; Math-tech, 1981; Union Pacific Railroad, 1981, 1995-99; Family Lines Rail System, 1982; Pepper, Hamilton, and Scheetz, 1981-87, 1991-98; Siemens Corp., 1982; Board of Governors of U. S. Postal Service, 1981; OECD, 1983-85, 1991-95; Sidley & Austin, 1983-89, 1991-; U.S. Postal Service, 1983-84; Echlin Inc., 1982-83; United Airlines, 1983, 1991-98; Consultants in Industry Economics, 1983-89, 1991-; Wiley, Malehorn & Sirota, 1983-89; City of Newark, 1984; Arnold & Porter, 1986-89, 1991-; Howrey & Simon, 1985-88, 1993-; Kodak, 1987-89; Crowell & Moring, 1988; Viacom 1989, 1991-98; Bell Atlantic, 1991-94; Intel, 1991-93; AOPL, 1993; IBM, 1993-96; Merck, 1993-1995; Harkins Cunningham, 1993-96; Boeing, 1993-98; Niagra Mohawk, 1994; PSE&G, 1994-1996; Microsoft, 1994; Coca-Cola Co., 1994-; Digital Equipment Corp. 1997-98; World Bank 1994-98; Inter-American Development Bank, 1997-; Steptoe & Johnson, 1997-; Air Transport Association of America, 1998; American Electric Power, 1999-; Cravath, Swaine & Moore, 1998-; Skadden Arps, 1999-; Amgen, 2000-; Comcast, 2000-; Williams & Connolly, 2000-.

Published Articles and Book Chapters:

"Entrepreneurship, Access Policy and Economic Development: Lessons from Industrial Organization,," (with M. Dutz and J. Ordover), <u>European Economic Review</u>, (44)4-6 (2000), pp. 739-747.

"Public Versus Regulated Private Enterprise," reprinted in <u>Privatization in Developing Countries</u>, P. Cook and C. Kirkpatrick (eds.), Edward Elgar, 2000.

"Consumer's Surplus Without Apology," reprinted in Readings in Social Welfare: Theory and

- <u>Policy</u>, Robert E. Kuenne (ed.), Blackwell, 2000, pp. **86-97**; reprinted in <u>Readings in Microeconomic Theory</u>, M. M. La Manna (ed.), Dryden Press, **1997**, pp. **201-212**.
- "Pareto Superior Nonlinear Outlay Schedules," reprinted in <u>The Economics of Price Discrimination</u>, G. Norman, (ed.), Edward Elgar, **1999**.
- "Deregulation v. the Legal Culture: Panel Discussion," in <u>Is the Telecommunications Act of **1996**</u> <u>Broken?</u>, G. Sidak (ed.), AEI Press, **1999**.
- "Economic Principles to Guide Post-Privatization Governance," in <u>Can Privatization Deliver?</u> <u>Infrastructure for Latin America</u>, R. Willig co-editor, Johns Hopkins Press, **1999.**
- "Access and Bundling in High-Technology Markets," (with J. A. Ordover), in <u>Competition</u>, <u>Innovation and the Microsoft Monopoly Antitrust in the Digital Marketplace</u>, J. A. Eisenach and T. Lenard (eds.), Kluwer, **1999**.
- "Competitive Rail Regulation Rules: Should Price Ceilings Constrain Final Products or Inputs?," (With W. J. Baumol), <u>Journal of Transport Economics and Policy</u>, Vol. **33**, Part **1**, pp. **1-11**.
- "Economic Effects of Antidumping Policy," Brookinns Trade Forum: 1998, 19-41.
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- "Restructuring Regulation of the Rail Industry," (with Ioannis Kessides), in <u>Private Sector</u>, Quarterly No. **4**, September **1995**, pp. **5 8**. Reprinted in <u>Viewpoint</u>, October, **1995**, The World Bank. Reprinted in <u>Private Sector</u>. *special edition*: Infrastructure, June **1996**.
- "Competition and Regulation in the Railroad Industry," (with Ioannis Kessides), in <u>Regulatory Policies and Reform: A Comparative Perspective</u>, C. Frischtak (ed.), World Bank, **1996.**
- "EconomicRationales for the Scope of Privatization," (with Carl Shapiro), reprinted in <u>The Political Economy of Privatization and Deregulation</u>, E. E. Bailey and J. R. Pack (eds.), The International Library of Critical Writings in Economics, Edward Elgar Publishing Co., **1995**, pp. **95-130**.
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"Public Versus Regulated Private Enterprise," in <u>Proceedings of the World Bank Annual Conference on Development Economics</u> **1993**, L. Summers (ed.), The World **Bank**, **1994**.

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"Issues Surrounding Access Arrangements"	1999
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HIID International Workshop on Privatization, Regulatory Reform and Corporate Governational Workshop on Privatization, Regulatory Reform and Corporate Governation (Infrastructure Architecture and Regulation: Railroads)	nce 1998
EU Committee Competition Conference – Market Power "US/EC Perspective on Market Definition"	1998
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